

FW Receipt

Encket No.: WMH

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

By: *Kyl Sisselman*

Date: November 22, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Christian Pfau et al.
Appl. No. : 10/532,181
PCT No. : PCT/EP03/011593
Filed : April 21, 2005
Title : Method for fastening a tool in a chuck
Art Unit : 3722

Confirmation No.: 6200

REQUEST FOR FILING RECEIPT CORRECTION

Hon. Commissioner of Patents and Trademarks,
Washington, D.C. 20231

Sir:

Undersigned counsel has received the Filing Receipt for the above-identified application.

However, the filing date was listed incorrectly and should be as follows:

- **April 21, 2005**

Enclosed are copies of the postcard with the Patent Office stamp, Express Mail certificate, and transmittal letter, all showing the filing of the present application on April 21, 2005.

It is respectfully requested that the Patent Office Records be changed and that a new Filing Receipt be issued, so that the printed patent will show the correct filing date.

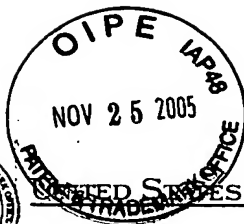
Respectfully submitted,

Kyl Sisselman
Kerry P. Sisselman
REG NO. 37,237

Lerner and Greenberg, P.A.
Post Office Box 2480
Hollywood, FL 33022-2480
Tel: (954) 925-1100
Fax: (954) 925-1101

Enclosed: Copy of the Filing Receipt ✓
Copy of the Express mail label ✓
Copy of the serial number postcard ✓
Copy of the Transmittal Letter ✓

/mjb

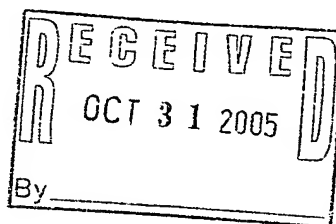


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/532,181 | 05/19/2005 | 3722 | 1165 | WMH-0738 | 2 | 24 | 1 |

24131
 LERNER AND GREENBERG, PA
 P O BOX 2480
 HOLLYWOOD, FL 33022-2480



CONFIRMATION NO. 6200

FILING RECEIPT



OC000000017314694

Date Mailed: 10/25/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Christian Pfau, Bietigheim-Bissingen, GERMANY;
 Christoph Zoller, Shanghai, CHINA;

Assignment For Published Patent Application

ZOLLER GMBH & CO. KG

Power of Attorney: The patent practitioners associated with Customer Number 24131.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/11593 10/20/2003

Foreign Applications

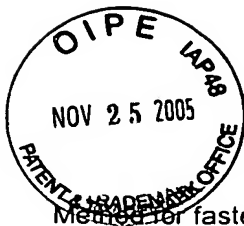
GERMANY 102 49 072.4 10/21/2002

Projected Publication Date: 02/02/2006

Non-Publication Request: No

Early Publication Request: No

Title



Means for fastening a tool in a tool chuck

Preliminary Class

409

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

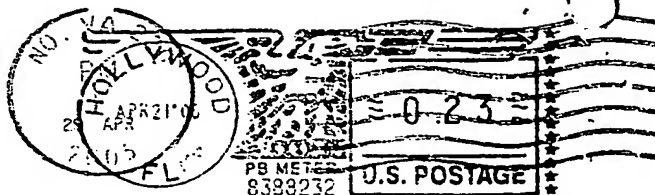
The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



BEST AVAILABLE COPY



LERNER AND GREENBERG, P.A.
P.O. Box 2480
Hollywood, FL 33022-2480

2480



CKET NO. WMH-0738. Mailed April 21, 2005 . . .

APPLIC. NO. PCT/EP03/011593 Express Mail EV 577604854 US

The stamp of the Patent Office hereon may be considered the date on which papers indicated below were received.

10/532181

JC10 Rec'd PCT/PTO 21 APR 2005

☒ PCT ☒ 2 dwgs. ☒ Mail. Cert.
☒ ~~Comp. Sheet~~ WO 2004/037486 ☒ Search Report
☒ Prel. Int. Exam. Report ☒ Fee Calc. Sheet
☒ Orig. PCT Applic 18 pgs. ☒ PCT Transmittal Letter
☒ Transl 21 pgs. ☒ Declaration signed/unsigned
☒ Prel. Amendment 8 pgs. ☒ Check \$1,200.00
ADS, IDS (9 Refs.) (Lit. Encl. 11/11/05)
WO 2004/037486 + Lit. Encl. 11/11/05
(Patent Office, Please stamp and return to addressee on reverse side.)

BEST AVAILABLE COPY



EV 577604854 US



UNITED STATES POSTAL SERVICE®

Customer Copy
Label 11-F, April 2004

Post Office To Addressee

| ORIGIN (POSTAL SERVICE USE ONLY) | | | |
|---|--|---|---------------------|
| PO ZIP Code 33314 | Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> 2nd <input type="checkbox"/> 2nd Del. Day | Postage \$ 21.05 | |
| Date Accepted Mo. 4 Day 21 Year 05 | Scheduled Date of Delivery Month 4 Day 22 | Return Receipt Fee \$ | |
| Time Accepted <input type="checkbox"/> AM <input checked="" type="checkbox"/> PM 4:50 | Scheduled Time of Delivery <input checked="" type="checkbox"/> Noon <input type="checkbox"/> 3 PM | COD Fee \$ | Insurance Fee \$ |
| Flat Rate <input type="checkbox"/> or Weight <input checked="" type="checkbox"/> lbs. 3 ozs. 3 | Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day | Total Postage & Fees \$ 21.05 | |
| Int'l Alpha Country Code | | Acceptance Emp. Initials TR | |

| DELIVERY (POSTAL SERVICE USE ONLY) | | | |
|---|------|---|--------------------|
| Delivery Attempt | Time | <input type="checkbox"/> AM <input type="checkbox"/> PM | Employee Signature |
| Mo. Day | | | |
| Delivery Attempt | Time | <input type="checkbox"/> AM <input type="checkbox"/> PM | Employee Signature |
| Mo. Day | | | |
| Delivery Date | Time | <input type="checkbox"/> AM <input type="checkbox"/> PM | Employee Signature |
| Mo. Day | | | |
| <input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Mail Only) Additional charges (insurance is void if waiver of signature is requested, I wish delivery to be made without requiring signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery. | | | |
| NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday | | Customer Signature | |

CUSTOMER USE ONLY

METHOD OF PAYMENT:

Express Mail Corporate Acct. No.

Federal Agency Acct. No. or
Postal Service Acct. No.

FROM: (PLEASE PRINT)

PHONE ()

LERNER & GREENBERG
PO BOX 2480
HOLLYWOOD FL 33022-2430

WMH-0738

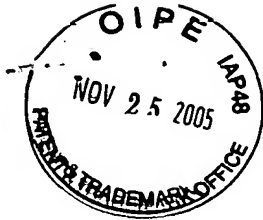
TO: (PLEASE PRINT)

PHONE ()

MAIL STOP PCT Applications
COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA VA 22313-1450

FOR PICKUP OR TRACKING: Visit www.usps.com or Call 1-800-222-1811





Practitioner's Docket No.: WMH-0738

CHAPTER II

"Express Mail" mailing label number EV 577604854 US
Date of Deposit April 21, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

MICHAEL BURNS

**TRANSMITTAL LETTER
TO THE UNITED STATES ELECTED OFFICE (EO/US)
(ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)**

INTERNATIONAL APPLICATION NO.
PCT/EP2003/011593

INTERNATIONAL FILING DATE
October 20, 2003

PRIORITY DATE
October 21, 2002

TITLE OF INVENTION

METHOD OF FASTENING A TOOL IN A TOOL CHUCK

APPLICANT
Pfau, Christian et al.

**Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
ATTENTION: EO/US**

1. Applicant herewith submits to the United States Elected Office (EO/US) the following items under 35 U.S.C. 371:
 - a. ☒ This express request to immediately begin national examination procedures (35 U.S.C. 371(f)).
 - b. ☒ The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees (37 C.F.R. § 1.492) as indicated below:

2. Fees

| CLAIMS FEE | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|--|--|------------------|------------------|---------------|------------------|
| []* The following fees are submitted** SMALL ENTITY | TOTAL CLAIMS | 24 | 4 | x \$ 50.00 | \$200.00 |
| | INDEPENDENT CLAIMS | 1 | | x \$ 200.00 = | \$0.00 |
| | MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 | | | | \$ 0.00 |
| | (a) Basic national fee | | | \$300.00 | \$ 300.00 |
| | (b) Examination fee | | | \$200.00 | \$ 200.00 |
| | (c) Search fee | | | \$500.00 | \$ 500.00 |
| | Total of Above Calculations | | | | = \$ 1,200.00 |
| | Reduction by ½ for filing by small entity, if applicable. Affidavit must be filed. (note 37 CFR 1.9, 1.27, 1.28) | | | | - \$ 0.00 |
| | Subtotal | | | | \$ 1,200.00 |
| | Total National Fee | | | | \$ 1,200.00 |
| | Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)) | | | | \$ 0.00 |
| | Fee for recording the enclosed assignment document \$40.00 (37 CFR 1.21(h)). (See Item 13 below). See attached "ASSIGNMENT COVER SHEET". | | | | \$ 0.00 |
| TOTAL | Total Fees enclosed | | | | \$1,200.00 |